



17 August 2023

SAPSE & Statutory Duty of Candour Forum

Commencing shortly...



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SAPSE & Statutory Duty of Candour Forum



Lanii Birks



Nicole Youngs



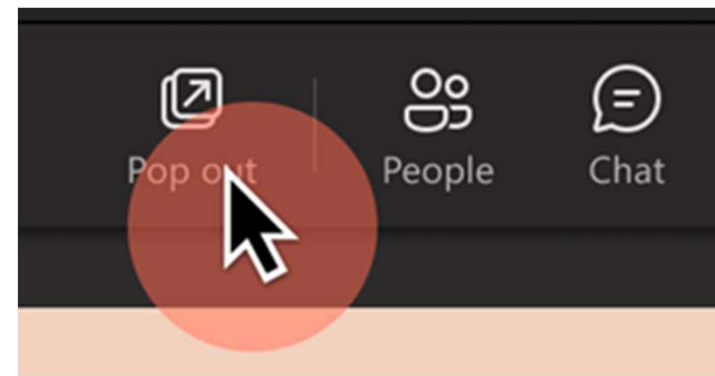
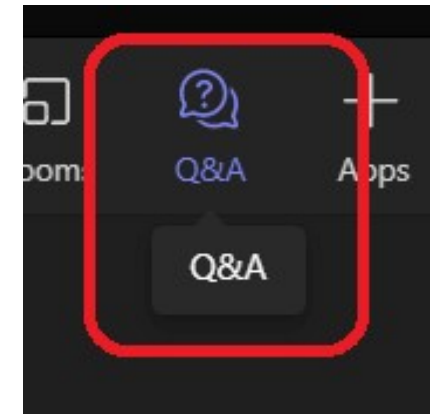
Clare Kitch



Kat Frick

Forum rules

- Forum is being recorded
- Mics turned off until questions
- Use Q&A button to ask questions
- Can use pop-out function
- Be respectful
- Hands up function during questions



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Acknowledgement of Country

We acknowledge the Traditional Custodians who have lived and loved this country through the vastness of time.

We honour the Wurundjeri People of the Kulin Nation whose country we stand on today. We pay our respects to the old people, to the Elders and Ancestors who are the safekeepers and caretakers of the oldest living culture on the planet.

For this is the very bedrock of this place, our shared home and our special identity in the world and the source of shared pride as Australians.

**For this land always was, and always will be,
Aboriginal Land.**

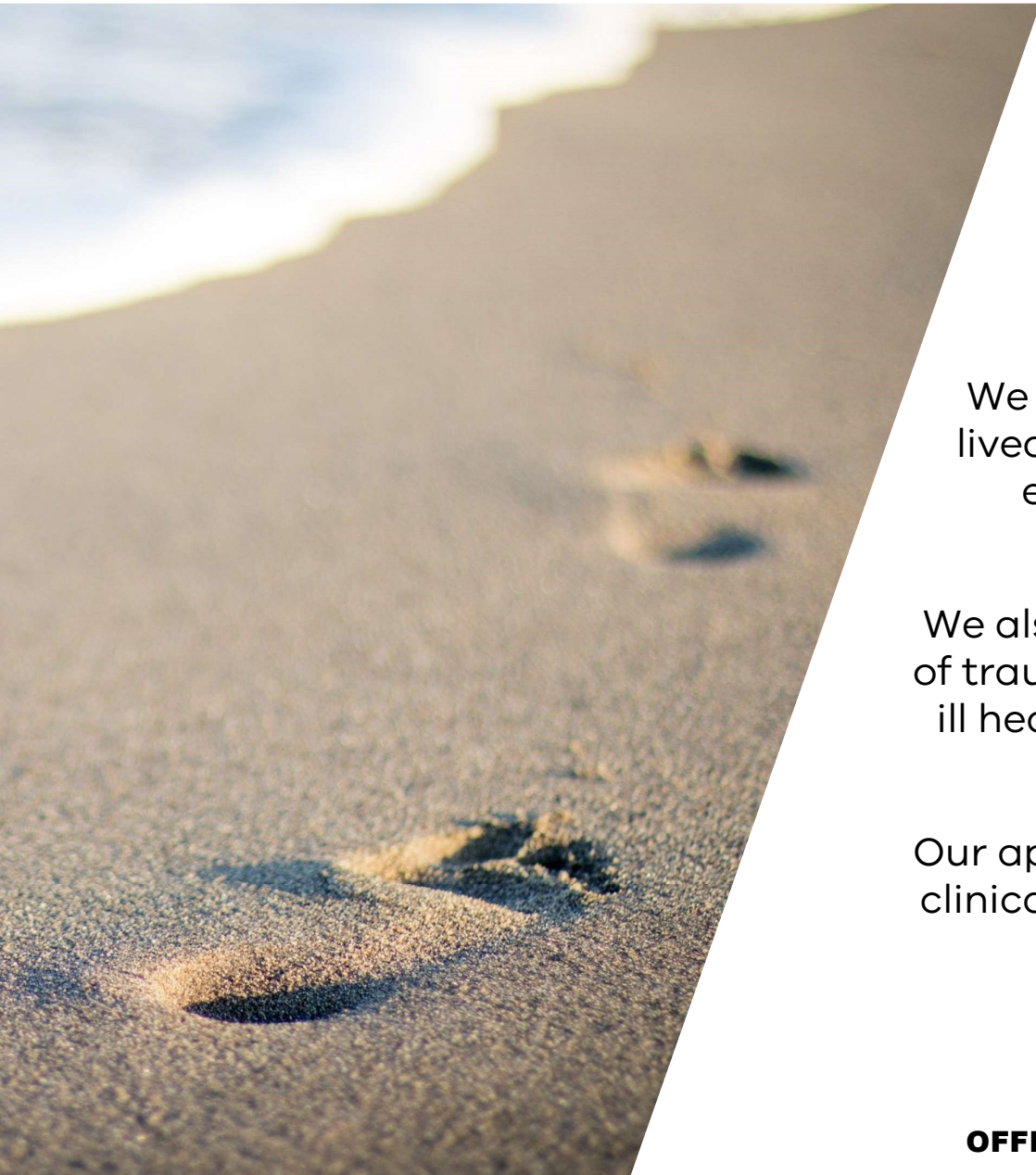


Kevyn
Morris

Lake Hume

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Acknowledgement of lived experience

We would also like to acknowledge people with lived experience of harm and recovery, and the experience of people who have been carers, families, or supporters.

We also recognise people with a lived experience of trauma, neurodiversity, family violence, mental ill health and substance abuse or addiction, and their families, carers and supporters.

Our appreciation extends to the clinical and non-clinical workforces that support people with lived experience.

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About us

Safer Care Victoria is the state's healthcare quality and safety improvement specialist

Established in 2017, we bring a determinedly fresh and independent-minded approach to helping health services improve

Our range of programs and projects may seem incredibly broad, but they all have the same goal – to support health services to get better and to help keep Victorians safe

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Agenda

Valid clinical incidents

SDC reporting

Sentinel events

Upcoming resources

WorkSafe

Community of practice

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Valid clinical incidents

What makes
a clinical
incident
'valid'?



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What makes a SAPSE?

- Were risks / complications discussed as part of the consent process?

Appropriate consent process



- Was policy or procedure not available, or not followed appropriately?

Policy and procedure



- Was there delay or lack of recognition or response to clinical deterioration?

Response to clinical deterioration



- Was there a lack of risk mitigation strategies, or were they inappropriate?

Risk mitigation strategies



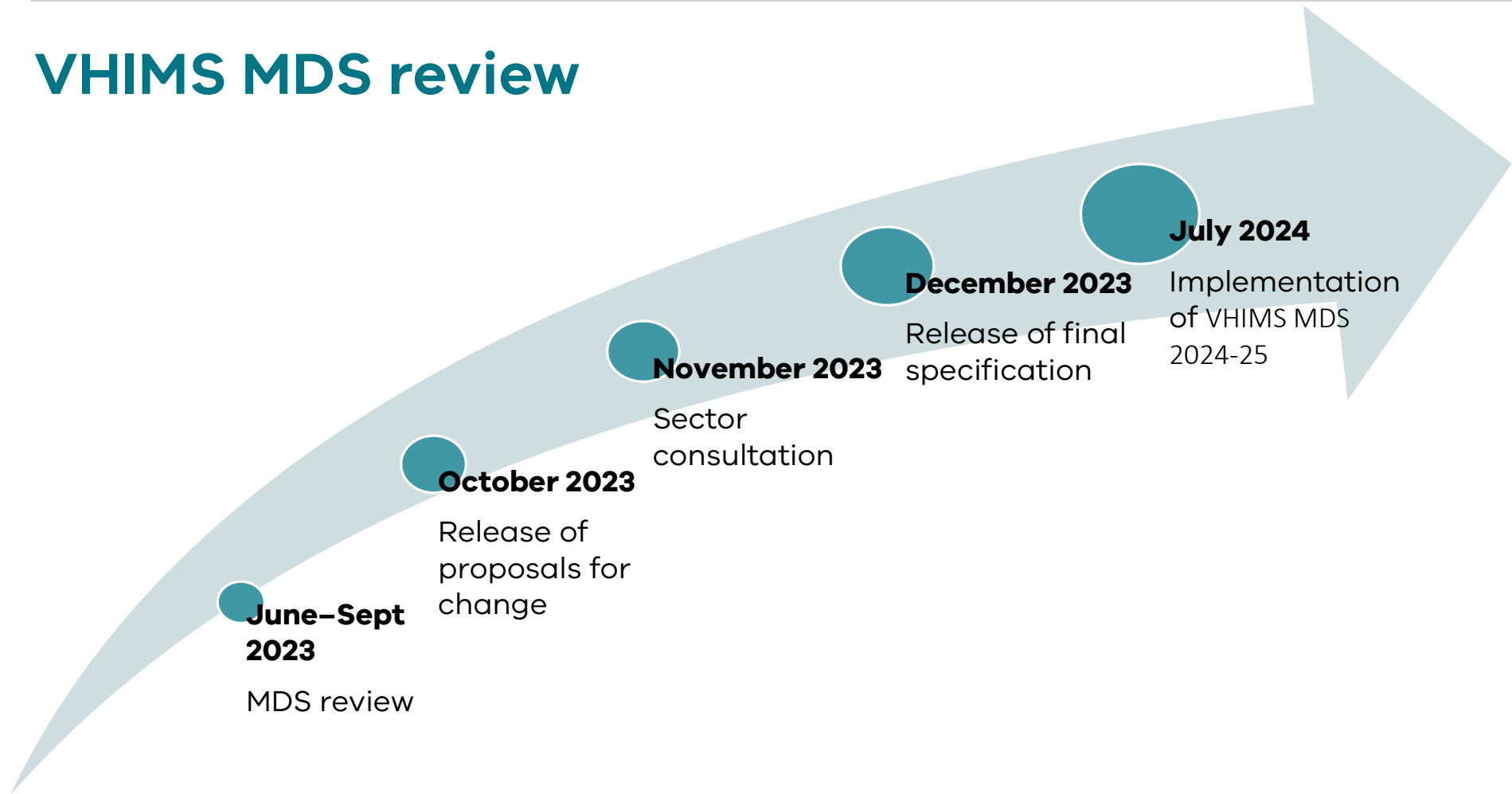
- Did the event lead to moderate or severe harm, or prolonged psychological harm?

Level of harm



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VHIMS MDS review

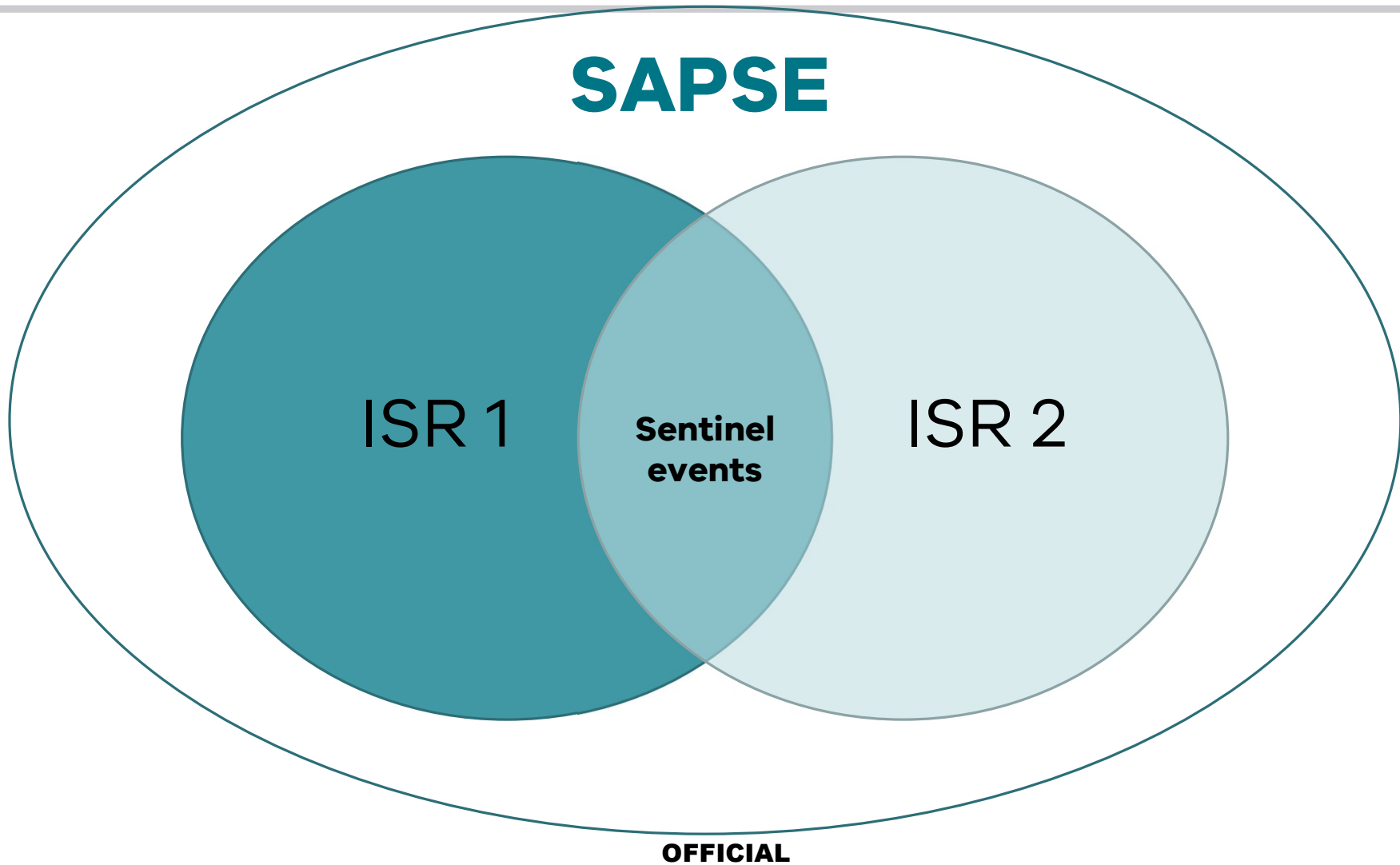


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Questions? vhims2@vahi.vic.gov.au



Sentinel event review vs SAPSE review



Sentinel event review vs. SAPSE review

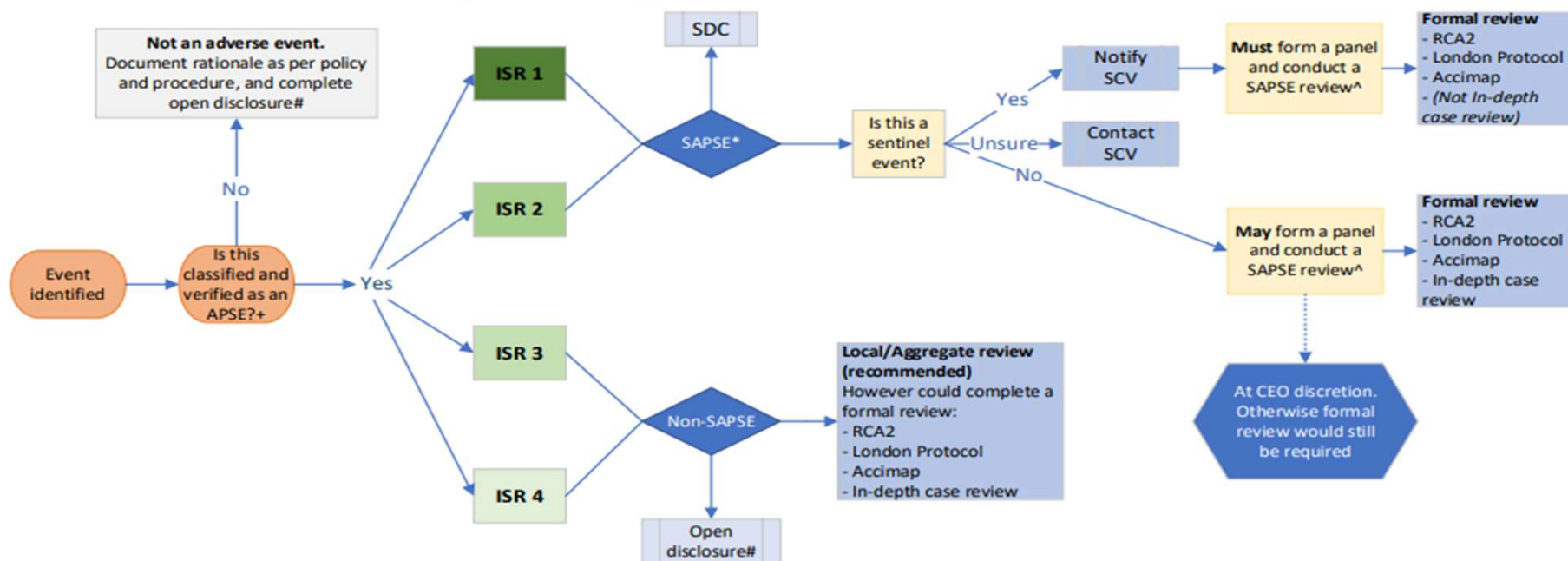
When the panel and report requirements are met in legislation:

- The report is protected from use within a legal proceeding
- A sentinel event review is also a SAPSE review (protected review)
- Relevant protections apply to panel members and those that provided information to the review.

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Recommended and Required review types

Flowchart 1. Recommended and required review types



+ See definitions.

*Meets the SAPSE definition within the *Health Services (Quality and Safety) Regulations 2020*.

^Requirements within Division 8 of Part 5A of the *Health Services Act 1988*, as well as the regulations must be complied with for all **relevant protections to apply**.

As per the Australian Open Disclosure Framework: [The Australian Open Disclosure Framework](#) | Australian Commission on Safety and Quality in Health Care.

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Sentinel Events Portal and reports

Only submit sentinel events to the portal

- Not all SAPSE reviews, only those that are sentinel events
- "SAPSE meeting SE criteria"- will be heading of report
- Names have been removed, only role is displayed
- Consider the audience for the report, as it will also include the affected consumer and/or family members or carers

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Criminal or intentionally unsafe acts

Examples

- A criminal offence
- Abuse
- Deliberately unsafe acts

Actions

- Inform clinical risk team/CEO
- Notify relevant authorities
- Obtain legal advice
- Amend SDC process accordingly

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SDC, SAPSE reviews and WorkSafe

If WorkSafe commence an investigation, the OH+S Act **does not** override the HS Act i.e. the SDC or SAPSE review process.

Health services should:

- Review what notifiable incidents are to WorkSafe
- Continue the SDC/SAPSE review process
- Know what information they can legally share



[Report an incident: Criteria for notifiable incidents | WorkSafe Victoria](#)

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SDC reporting update

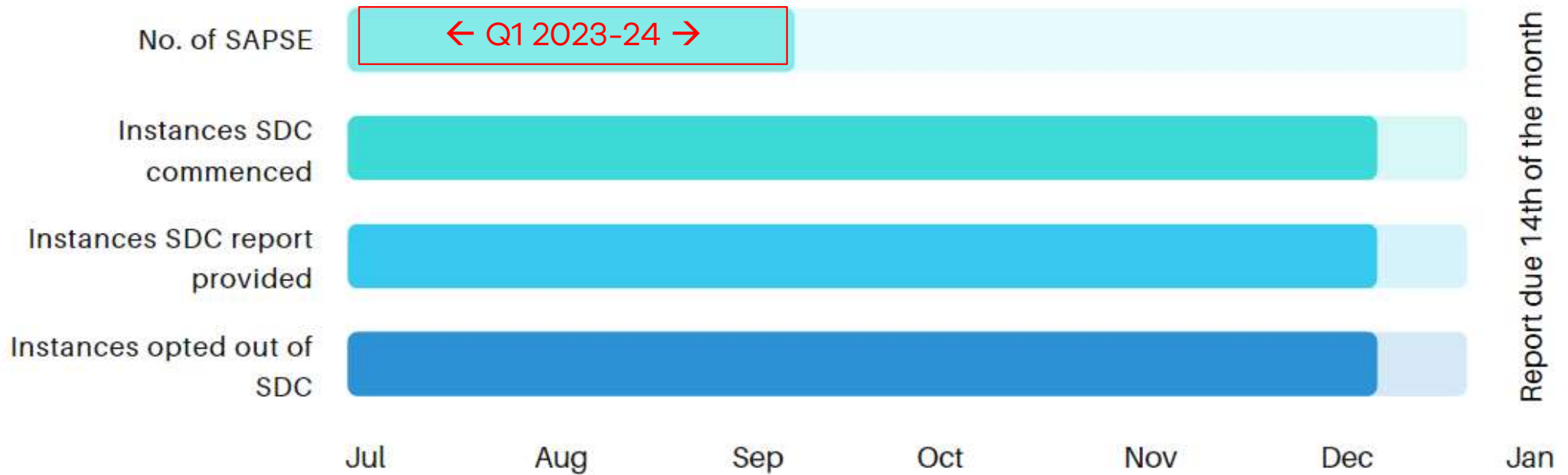
Quarter SAPSE identified	6-month period of SDC process	Due date for submission of SAPSE events	Which report to select in HealthCollect
Q3: Jan - Mar 2023 (voluntary)	Jan – Jun 2023	1 – 14 July 2023	Q3 22-23
Q4: Apr - Jun 2023 (voluntary)	Apr – Sep 2023	1 – 14 Oct 2023	Q4 22-23
Q1: July – Sep 2023 (mandatory)	Jul – Dec 2023	1 – 14 Jan 2024*	Q1 23-24
Q2: Oct – Dec 2023 (mandatory)	Oct 2023 – Mar 2024	1 – 14 Apr 2024	Q2 23-24

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*1st mandatory report due

SDC reporting update

SDC reporting



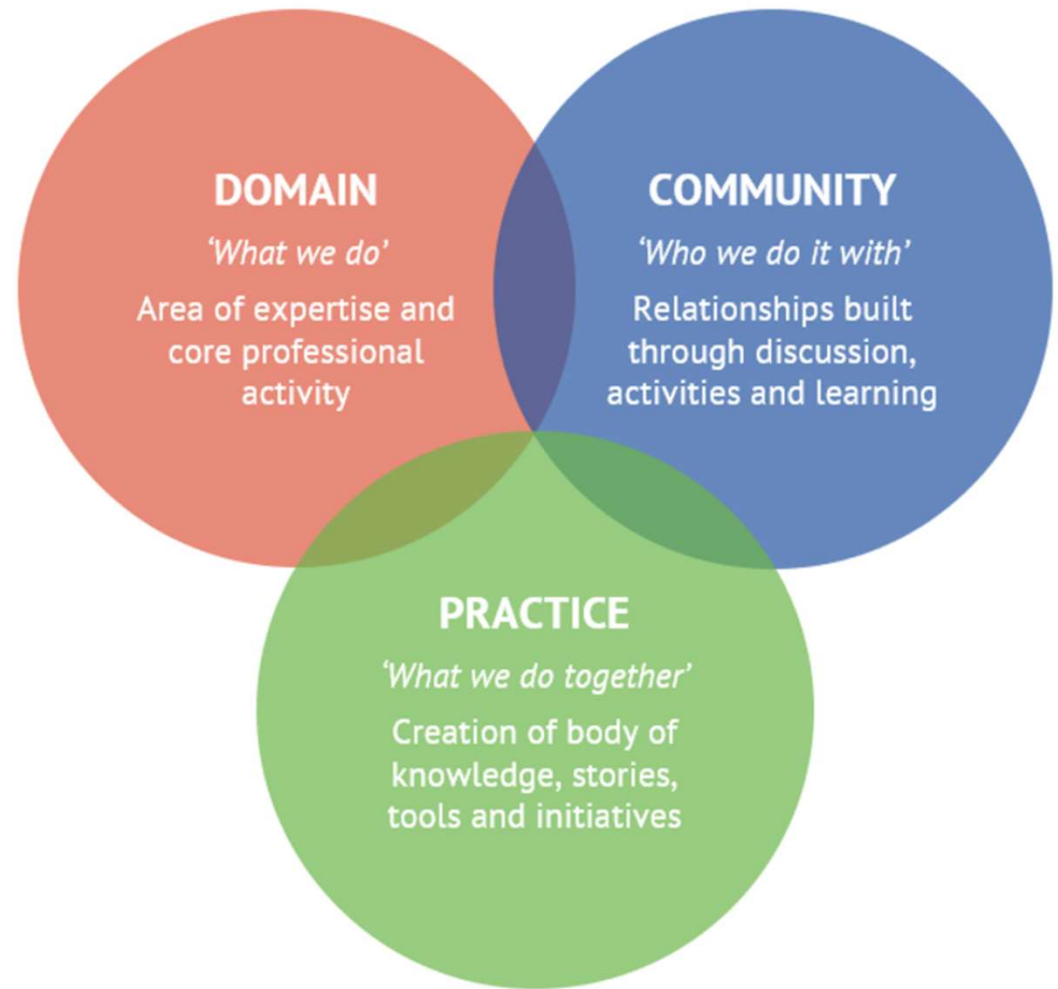
Choose Q1 2023-24 report
(open 1-14 January)

***63 business days in this quarter.**

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Community of practice

- Health service led with SCV support
- Share knowledge and learnings
- Provide resources and support



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What makes open disclosure and the Statutory Duty of Candour successful in your service?





Questions
and open
forum

Further resources or projects

APSE policy and guideline - *available*

Fundamentals online training - *available*

VHIMS MDS review - *underway*

Victorian Sentinel Event Guide revision - *underway*

In-depth case review tool - *underway*

Multi-agency review resources - *underway*

Morbidity & Mortality project - *underway*

SCV website upgrade project - *underway*

Translation of SDC consumer resources - *planning*

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Feedback

<https://forms.office.com/r/YjriYeQdhz>



SDC and SAPSE Forum - 17 August
2023



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Resources

Project email: dutyofcandour@health.vic.gov.au

Sentinel event team: sentinel.events@safercare.vic.gov.au

VHIMS team: vhims2@vahi.vic.gov.au

The Act: [Health Legislation Amendment \(Quality and Safety\) Act 2022](#)

SDC and SAPSE reviews website: [Statutory Duty of Candour and protections for SAPSE reviews | Safer Care Victoria](#)

Patient resources: [Resources for patients, families and their carers | Safer Care Victoria](#)

Online modules: [Learning and education | Safer Care Victoria](#)

APSE policy and guideline: [Adverse event review and response | Safer Care Victoria](#)

Partnering with consumers on reviews guide: [Consumer representatives on review teams guide.pdf \(safercare.vic.gov.au\)](#)

Adverse Event Reviews: Guide for Consumers [Adverse Event Reviews : A guide for Consumer Representatives](#)

Subscribe to SCV newsletter: [Subscribe | Safer Care Victoria](#)

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Feedback



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Thank you for your time. Have a good day!

